

MEMBERS RIGHTS AND OBLIGATIONS

ANNA Members' Rights

- Right to vote and to speak at ordinary or extraordinary General Meetings
- Right to vote on ANNA and ASB Board Elections and being eligible for position on the Boards
- Voting on the annual accounts
- Right to inspect the annual accounts
- Participate in working groups / task forces
- Power of investigation and supervision of independent auditors
- Request an extraordinary General meetings (by at least one fifth of the membership)

ANNA Members' Obligations

ANNA articles

- Accept and follow "Articles of Incorporation"
- Sign and follow the "Letter of Understanding"
- Participate in Annual General Meeting (personally or in exceptional cases by Power of Attorney)

ISIN (International Securities Identification Number) allocation

- Promote ISO Standard 6166:2013 (ISIN) in our area of responsibility
- Allocate ISINs for all security types, listed and unlisted, in their area of responsibility according to ISO 6166:2013 and the latest version of ISIN guidelines.
- Disseminate ISINs to the local and international securities industry as well as to other ANNA members.
- Follow the ISIN Quality Management Concept (actual version on ANNA website www.anna-web.com under "ANNA Policy")

CFI (Classification of financial instruments) allocation

- Implement and allocate CFI code according to ISO Standard 10962:2015 and CFI guidelines

FISN (Financial Instrument Short Name) allocation

- Implement and allocate FISN code according to ISO Standard 18774:2015 taking into consideration the document FISN Q & A and the latest version of the FISN abbreviation list

ANNA financials

Initial fee (one-time):

- EUR 1.250 for one share in ANNA
EUR 1.250 participation in administrative expenses
- Annual fees:
Fees are subject to decision by General Meeting
(currently: EUR 4,000)

ANNA Service Bureau (ASB)

- Provide ISIN master file to ASB within 1 month after having been accepted by the General Meeting as member
- Provide quarterly updates of ISIN master file to ASB
- Provide daily updates to ASB either via Internet input facilities (www.annaservice.com) or FTP file transfer in the agreed ISIN record structure format
- Using ASB product line as communication platform and vehicle for dissemination of ISIN data to all other ANNA members and other connected parties (data vendors etc.)
- Give Power of Attorney to ANNA Board of Directors for signing license agreements with data vendors and other parties (e.g. end-users and service centers)