

ASB RECORD FORMAT & FIELD DEFINITIONS
and file naming convention

The table below indicates the size and format of the fields within an ISIN record in the ASB database.

	Field Name		Size/Format	Accepted Values	Additional information
1	ISIN	M	12 Alphanumeric	As per ISO 6166	The 12 character alpha numeric ISIN as per the ISO 6166 standard will be provided in this field.
2	Status	M	1 Alpha	"N", "U", "D"	"N" - New (New ISIN added to the database) "U" - Updated (One/several of the fields in the ISIN record is/are updated) "D" - Deleted (The instrument associated with the ISIN is no longer active)
3	Instrument Category	M	2 Alpha	"EQ", "CV", "DT", "EN", "OP", "FT", "SP", "RI", "OT", "SO"	EQ = Equities " CV = Collective Investment Vehicles DT = Debt EN = entitlements/Rights OP = Listed Exchange Traded Options FT = Futures SP = Structured Products RI = Referential Instruments OT = Others SO = Spot
4	Issue Description	M	Max. 120 Alphanumeric	Free text	Description of the issue
5	CFI Code	M	6 Alpha	As per ISO 10962	The Classification of Financial Instruments code as per the ISO 10962 standard
6	FISN	M	Max. 35 Alphanumeric	As per ISO 18774	The Financial Instrument Short Name as per the ISO 18774 standard. Link to: FISN abbreviation list
7	Terms/Prospectus	O	1 Alpha	"Y" or "N"	The flag indicating whether the ISIN was assigned based on a preliminary prospectus or not, will be provided in this field. Acceptable alpha values are: "Y" = Yes (the information provided is preliminary) "N" = No (the information provided is not preliminary).

	Field Name		Size/Format	Accepted Values	Additional information
8	Nominal Value	O	Max. 19 Alphanumeric	nnnnnnnnnnn.dddddd or NPV	<p>The nominal value of the security will be provided in this field.</p> <p>Nominal Value of Bonds For bonds, the nominal value is the face value, which is the amount repaid to the bondholder at maturity. Example USA: corporate, municipal, and government bonds typically have face values of \$1,000, \$5,000, and \$10,000, respectively.</p> <p>The nominal value of equities, or par value, is an arbitrary value assigned for balance sheet purposes when the company is issuing share capital – In USA is typically \$1 or less.</p> <p>This contains the amount or the number for the minimum subscription in accordance with the prospectus.</p> <p>NPV = No Par Value The “Nominal Value” is allowed to accept as a valid input the three letter shortcut NPV (No Par Value), either in lower or upper case letters</p> <p>This field is optional for: Equities, Debt, Entitlements, Structured Products, and Others instrument categories.</p> <p>This field is not expected to be populated for: Collective Investment Vehicles, Options, Futures and the Referential Instruments instrument categories.</p>

	Field Name		Size/Format	Accepted Values	Additional information
9	Issue Currency	M/O	3 Alpha	As per ISO 4217 and CNH,GBX,MCU,PRC, PTX, UYR, XXR, USX ZAC or XXX	The currency of the security, as per the ISO 4217 standard or the following: CNH – Chinese Offshore Renminbi GBX – Penny UK Sterling MCU – Multi-Currency PRC – Percent PTX – Points UYR – Index Adjusted Currency XXR – Reichsmark USX- US Dollar minor ZAC- South African Rand minor. This field is mandatory for all instrument categories except for Structured Products, Referential Instruments, and Others for which it is optional. XXX = no currency
10	Smallest Denomination	O	Max. 19 Numeric	nnnnnnnnnnn.dddddd	The smallest denomination of the security. Example USA: one may purchase bonds in a variety of denominations, ranging from \$50 to \$10,000. The smallest denomination is \$50.
11	Conversion Ratio/Contract Size	O	Max. 12 Numeric	nnnnn.dddddd	For instruments that have a convertibility feature (for example convertible bonds, Preferred Shares, etc.), the conversion ratio will be provided in this field. For Options and Futures and where relevant for Structured Products this field should be used to contain the Contract size. This field is optional for all instrument categories except for Referential Instruments

	Field Name		Size/Format	Accepted Values	Additional information
12	Maturity/Expiration Date	M/O	Max. 8 Alphanumeric	YYYYMMDD or "P" or "Q" or "R"	<p>Maturity Date or Expiry Date will be provided in this field.</p> <p>When an instrument is perpetual, a value "P" or "Q" is expected in the Maturity/Expiry Date field. The definitions of these values are identical to the CFI Code ISO 10962 standard: P = Perpetual (The debt instrument has no fixed maturity date and is only due for redemption in the case of the issuer's liquidation.) Q = Perpetual with call feature (The issue may be called for redemption at some time in the future.) R = Perpetual with Put feature. (The issue may be puttable for redemption at sometime in the future) This field is mandatory for Debt, Options, and Futures instrument categories and optional for all other instrument categories.</p>
13	Exercise price	C	Numeric		<p>Exercise price will be provided where relevant in this field. This price can be an amount or a percent. A maximum of 16 numeric characters will be accepted in nnnnnnnn.ddddd This field is Optional for Entitlements, Options, Structured Products and Others instrument categories. It is not expected to be populated for any other instrument categories.</p>
14	Exercise Price Currency	C	3 Alpha	As per ISO 4217 or "PRC"	<p>This field will only be populated under the condition that the "Exercise Price" field value is provided, otherwise it should not have a value. PRC = Percentage</p>

	Field Name		Size/Format	Accepted Values	Additional information
15	Underlying	O	Variable Alphanumeric Max. 100 ISINs	Multiple values as per ISO 6166, separated by the tilde character “~”	This is one of the fields that can accept multiple values per ISIN record. What is expected in this field is the ISIN of the underlying(s) instrument(s). This field will be able to accept up to 100 ISIN codes as underlying separated by the tilde character “~”. This field is optional for all instrument categories except for Referential Instruments
16	Type of Interest	M/O	1 Alpha	“F”, “Z”, “V”, “C”, “K”, “D”, “Y” or “M”	F = Fixed rate (All interest payments are known at issuance and remain constant for the life of the issue.) Z = Zero rate / Discounted (No periodical interest payments are made; the interest charge (discount) is the difference between maturity value and proceeds at the time of acquisition.) V = Variable (The interest rate is subject to adjustment through the life of the issue includes graduated, i.e. step-up/step-down, floating and indexed interest rates) C = Cash payment K = Payment in kind D = Dividend Payment Y = Non-Payment M = Other (Miscellaneous)
17	Interest Rate	C	Max. 10 Numeric	nnn.dddddd	This field is meant to have the interest rate for fixed interest bearing instruments. This field is conditional to the value of the “Type of Interest” field, i.e. it should only be populated if the “Type of Interest” field is set to “F” (for Fixed Rate Instruments) otherwise it can be left empty. This field is conditional for Debt, Structured Products and Others instrument categories

	Field Name		Size/Format	Accepted Values	Additional information
18	Interest Payment Date	C	4 numeric	MMDD	<p>The first interest payment date in a calendar year will be provided in this field.</p> <p>This field is optional for Debt, Structured Products and Others instrument categories. It is not required for any other instrument categories.</p>
19	Interest Frequency	O	1 Alpha	"B", "A", "S", "Q", "M", "W", "D", "X"	<p>This field will contain the frequency of interest payments.</p> <p>B = Bi-annual A = Annual S = Semi-annual Q = Quarterly M = Monthly W = Weekly D = Daily X = Other</p>
20	First Interest Payment Date	O	8 numeric	YYYYMMDD	<p>This field will contain the first interest payment date.</p> <p>This field is optional for Debt, Structured Products, and Others instrument categories. It is not required for any other instrument categories</p>
21	Additional Information	O	Max. 255 Alphanumeric	<p>Free text</p> <p>Also, the first 8 characters may contain CFI2015.</p>	<p>This field should contain any additional information (e.g. comments) relating to the respective ISIN record. This field is optional for all instrument categories. This field will also contain CFI2015. (Always at the beginning of the text string) which indicates the CFI code was allocated pursuant to the revised ISO 10962 standard</p>
22	Market Identifier Code (MIC)	O	<p>Variable Alpha</p> <p>Max. 70 MICs per ISIN</p>	<p>Multiple values as per ISO 10383, separated by the tilde character "~"</p>	<p>This is one of the fields that similar to the "Underlying" field can accept multiple values per ISIN record.</p> <p>What is expected in this field is the list of all the Market Identifier Codes (MICs) of the markets that the respective ISIN record will be traded at the time of issuance</p>

	Field Name		Size/Format	Accepted Values	Additional information
23	Lead Manager Name	O	Max. 255 Alphanumeric	Free text	The Lead Manager Name field should contain the full legal name of the Bank/Underwriting firm that took the lead role during the issuance/launch of the respective ISIN record. The field is optional for Equities, Debt, Entitlements, and Others instrument categories. It is not expected to be populated for any other instrument categories.
24	Lead Manager LEI	O	20 Alphanumeric	As per ISO 17442	The Lead Manager LEI should contain the Legal Entity Identifier (LEI) of the Lead Manager in line with the ISO 17442 standard. The field is optional for Equities, Debt, Entitlements, and Others instrument categories. It is not expected to be populated for any other instrument categories.
25	Fund Manager Name	O	Max. 255 Alphanumeric	Free text	The Fund Manager Name field will contain the full legal name of the Fund Manager of the respective ISIN record. The field is optional for Collective Investment Vehicles and Others instrument categories. It is not expected to be populated for any other instrument categories.
26	Fund Manager LEI	O	20 Alphanumeric	As per ISO 17442	The Lead Manager LEI should contain the Legal Entity Identifier (LEI) of the Lead Manager in line with the ISO 17442 standard. The field is optional for Equities, Debt, Entitlements, and Others instrument categories. It is not expected to be populated for any other instrument categories.
27	Central Security Depository (CSD) Name	O	Max. 255 Alphanumeric Max. 10 Values	Multiple values of CSD Name (Free text) and CSD LEI (as per ISO 17442), separated by the tilde character "~"	The field will contain the full legal name of the Central Securities Depository or multiple ones which was/were used during the issuance of the respective ISIN record.

	Field Name		Size/Format	Accepted Values	Additional information
	Central Security Depository (CSD) LEI	O	20 Alphanumeric per Single value Max. 10 Values	Name and corresponding LEI must be listed in pair. If only Name or LEI is available it will also be accepted.	This field will also contain the Legal Entity Identifier (LEI) of the CSD in line with the ISO 17442 standard.
28	Issuer Name (Long)	M	Max. 400 Alphanumeric	Free text	This field will contain the official registered name of the issuer of the ISIN record.
29	Issuer LEI	O	20 Alphanumeric	As per ISO 17442	This field will contain the LEI of the issuer, in line with the ISO 17442 standard.
30	Issuer Name (Short)	O	Max. 30 Alphanumeric	Free text	This field will contain the Issuer's abbreviated name.
31	Issuer Supranational	O	1 Alpha	"Y" or "N"	"This field will be used to denote if the Issuer of the instrument described in the ISIN record is a Supranational organization (i.e. a governmental or non- governmental entity that is established by international law or treaty). "Y" = Yes (Issuer is a Supranational Organization) "N" = No (Issuer is not a Supranational organization)
32	Issuer Headquarters Address 1	O	Max. 120 Alphanumeric	Free text	
33	Issuer Headquarters Address 2	O	Max. 120 Alphanumeric	Free text	
34	Issuer Headquarters State/Province	O	Max. 120 Alphanumeric	Free text	
35	Issuer Headquarters Post Code	O	Max. 120 Alphanumeric	Free text	
36	Issuer Headquarters City	O	Max. 60 Alpha	Free text	
37	Issuer Headquarters Country	O	2 Alpha	As per ISO 3166	
38	Issuer Legal Registration Address 1	O	Max. 120 Alphanumeric	Free text	
39	Issuer Legal Registration Address 2	O	Max. 120 Alphanumeric		

	Field Name		Size/Format	Accepted Values	Additional information
40	Issuer Legal Registration State/Province	O	Max. 120 Alphanumeric	Free text	
41	Issuer Legal Registration Post Code	O	Max. 120 Alphanumeric	Free text	
42	Issuer Legal Registration City	O	Max. 60 Alpha	Free text	
43	Issuer Legal Registration Country	M	2 Alpha	As per ISO 3166	The 2 character alpha country code as per the ISO 6166 standard will be provided in this field.
44	Old ISIN	C	64 Alphanumeric	As per ISO 6166	Old ISIN after a change in ISIN code relating to corporate events warranting such a change as defined in the ISIN guidelines maintained by the RA Example: In the ISIN record updated or deleted for the Old ISIN: AZ1234567894 the new or existing ISIN AZ2345678901 shall be entered here after a corporate action. Note: The field Old ISIN can accept up to 5 ISINs entries separated by a space for in- and outbound.
45	New ISIN	C	12 Alphanumeric	As per ISO 6166	New ISIN after a change in ISIN code relating to corporate events warranting such a change as defined in the ISIN guidelines maintained by the RA Example: In the ISIN record created for the New ISIN AZ2345678901 or in the ISIN record updated for an existing ISIN, the Old ISIN: AZ1234567894 shall be entered here after a corporate action
46	Call/Put	O	1 Alpha	"C" or "P"	This field is optional for following instrument categories: EN Entitlements/rights and OP Options only.
47	Underlying Instrument Name	O	Max. 255 Alphanumeric		This field is optional for EQ Equities, DT Debts, EN Entitlements/Rights, OP Options and SP Structured Products only.

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48	Head office LEI (ISO 17442, only applicable for branch issuances)	O	20 Alphanumeric		This field will contain the LEI of the issuer, in line with the ISO 17442 standard.
49	Head office name (only applicable for branch issuances)	O	Max. 400 Alphanumeric	Name and corresponding LEI must be listed in pair. If only Name or LEI is available it will also be accepted.	The elements head office name and head office LEI are only applicable for instruments that are issued by an international branch of a legal entity. In these cases, the issuer legal name and the issuer LEI should match the name and LEI of the branch, while the head office name and head office LEI should match the name and LEI of the parent legal entity.

Constraints: M = Mandatory, O = Optional, and C = Conditional

Notice:

The field Old ISIN can accept up to 5 ISINs entries separated by a space for in- and outbound. The deadline for implementation is 29 June, 2024.